

04/30/01

J1054 U.S. PTO

05-02-01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

J1017 U.S. PTO  
09/846927

04/30/01

Docket No. : 45099/DRK/U345  
Inventor(s) : James Uberti  
Title : ON-LINE BASED FINANCIAL SERVICES METHOD AND SYSTEM  
UTILIZING BIOMETRICALLY SECURED TRANSACTIONS FOR  
ISSUING CREDIT  
Express Mail Label No. : EL483174665US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: April 30, 2001

1. X **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

X This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. 60/203,041, filed May 9, 2000.

3. **APPLICATION COMPRISED OF**

**Specification**

35 Specification, claims and Abstract (total pages)

**Drawings**

3 Sheets of drawing(s) (FIGS. 1 to 3)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed

X Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

X Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

7. **ALSO ENCLOSED ARE**

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Docket No.: 45099/DRK/U345

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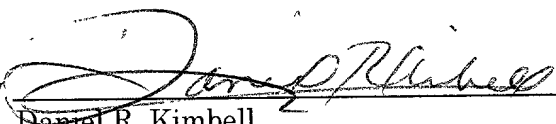
- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) *(if foreign priority is claimed)*
- ☐ English Translation Document *(if applicable)*
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Daniel R. Kimbell  
Reg. No. 34,849  
626/795-9900

DRK/eaj

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: April 30, 2001**

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**FEE DETERMINATION**

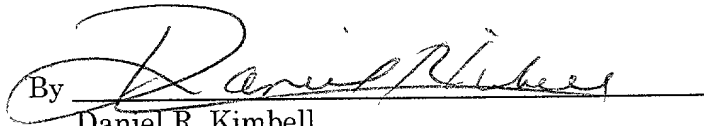
CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	24 - 20	4	4 x \$9.00	x \$18.00	\$36.00
INDEPENDENT CLAIMS	4 - 3	1	1 x \$40.00	x \$80.00	\$40.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$355.00
TOTAL FILING FEE					\$431.00
List Independent Claims: 1, 21, 23 and 24					

**METHOD OF PAYMENT**

- ☒ Payment Enclosed: Check for \$431.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Daniel R. Kimbell  
Reg. No. 34,849  
626/795-9900

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